

-XO\

7RUHFHDDNVDFKXVMDDLVG  
FRQVLGHUD%&RQO\W\$)6SE\ -XO\

ODNH VXUH \RX UHFHLYH FRQILUPDWLRQ  
WKDW \RX VXFFHVVIXOO\ VXEPLWWHG L  
\RXU FRQILUPDWLRQ

%&& ZLOO DZDUG ILQDQFLDO DLG \HDU URXQG EXW RQ  
D ILUVW FRPH ILUVW VHUYHG EDVLV DQG RQO\ ZKLOH  
IXQGV ODVW

<RXFDG UHDDQ6\$, ' D6WXGHQW\$LCRXY  
)6\$, ' ZLQOHG WR EH YHULILHG SULRU WR ILOLQJ \RXU  
)\$)6\$ 7KLV SURFHVV FDQ WDNH EXVLQHVV GD\ WR  
FRPSOHFWFRUFX\VHODPHSDVVZRDCG  
FKDOOH\HWLRQV DQGL\HWXWXUH  
UHIHUHQFH

%&& UHTXLUHV MXVW RQH IRUP WKH )UHH \$SSOLFDWLRQ  
IRU )HGHUDO 6WXGHQW \$LG )\$)6\$ IRU VKRUW IRXQG  
RQOLQH DW 7KHUHV QR  
FKDUJH IRU ILOLQJ \RXU )\$)6\$ DSSOLFDWLRQ DW WKLV IUHH  
JRYHUQPHQW ZHEVLWH )LOH D QHZ )\$)6\$ HDFK IDOO WR  
UHFHLYH FRQVLGHUDWLRQ IRU DOO IRUPV RI IHGHUDO DQG  
VWDWH DLG DGPLQLVWHUHG E\ %&& JUDQWV MREV  
ORDQV

\*DWKEGGQWDHQUHTXLUHIRUPDDWLRQ  
UHTXHV\WRGDUE GHSHQGW\W\H\OWHQW  
LQIRUPDZLORQQHHG WR EH SURYLGHG VXFK DV WKHLU  
)XOO 1DPH 'DWH RI %LUWK (PDLO DGGUHV DQG 6RFLDO  
6HFXULW\ 1XPEHU